



High Power LED for Solid-state Lighting

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2009.07.13

Lighting consumes 22 % of electricity
8 % of total energy
(statistics of USA)

Solid State Lighting, by 2025
50% reduction of energy consumed by lighting
10% reduction in greenhouse gas emissions
Customer savings of **\$30 billion annually**
(U.S. Dep. Energy)

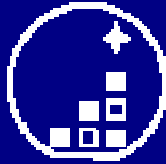


Outline

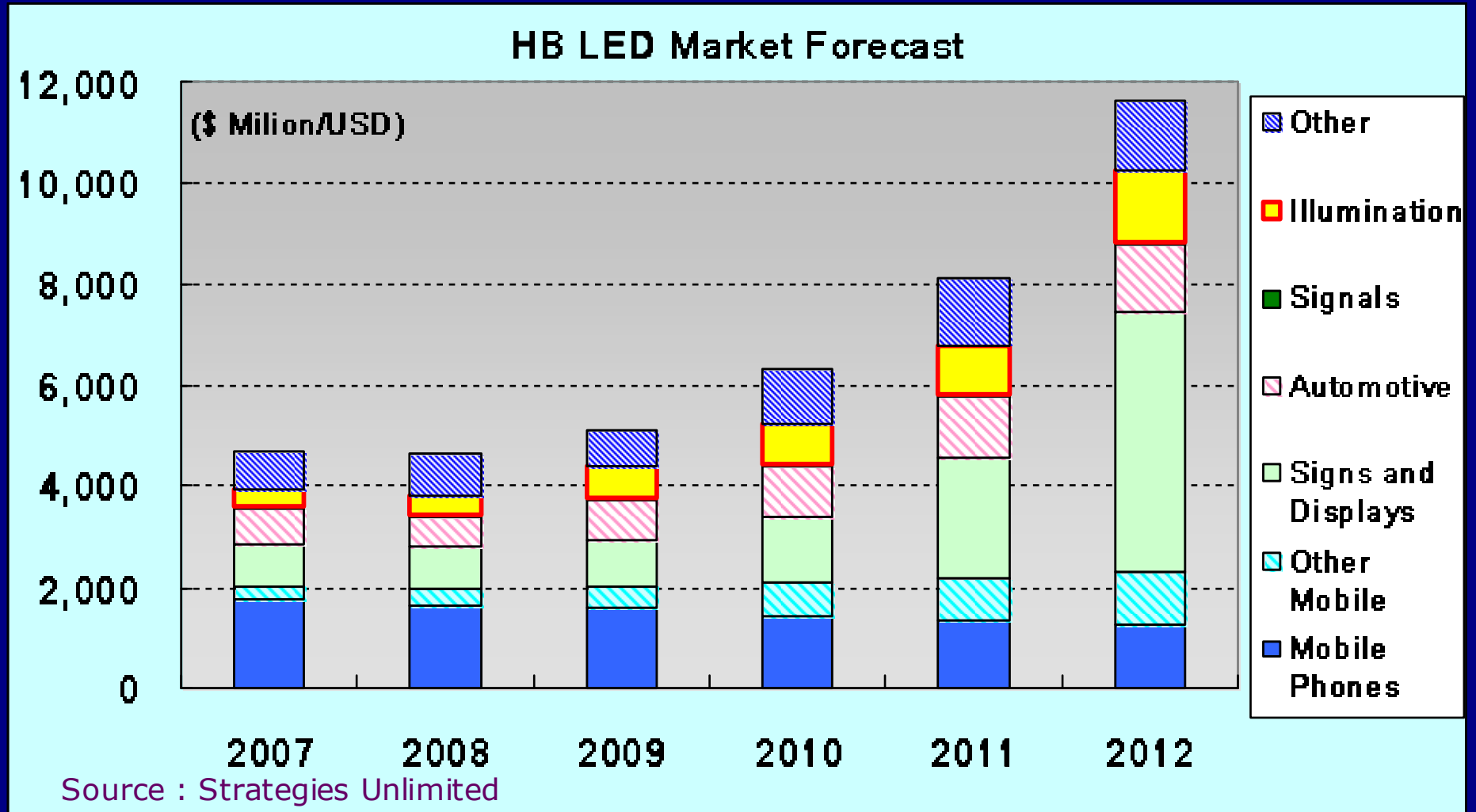
- ❖ **Market Trends for HB-LED and Lighting**
- ❖ **Technical Trends & Challenges for LED Lighting**
- ❖ **AC LED for Lighting**
- ❖ **Summary**

Outline

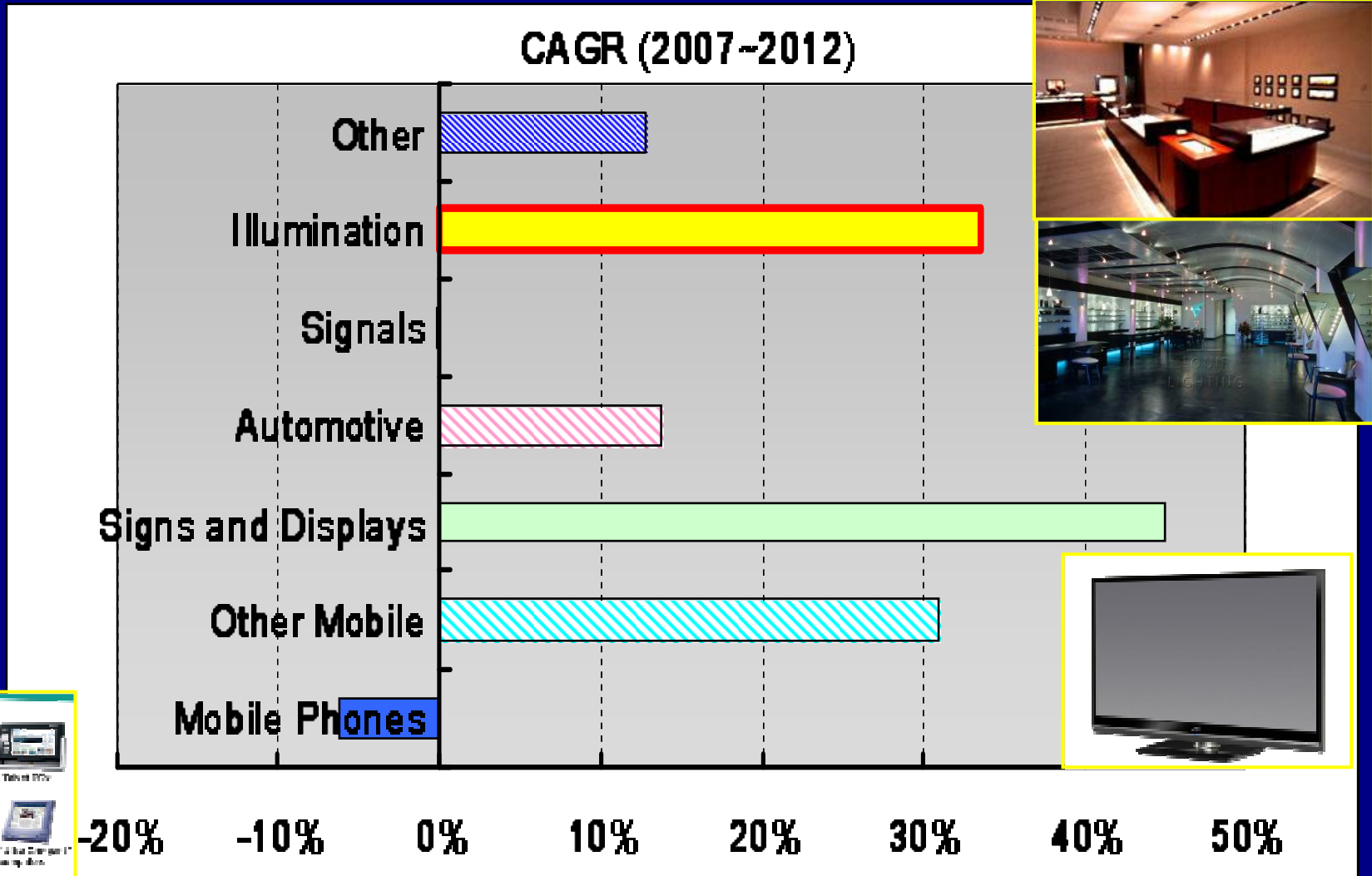
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Global HB LED Market Forecast

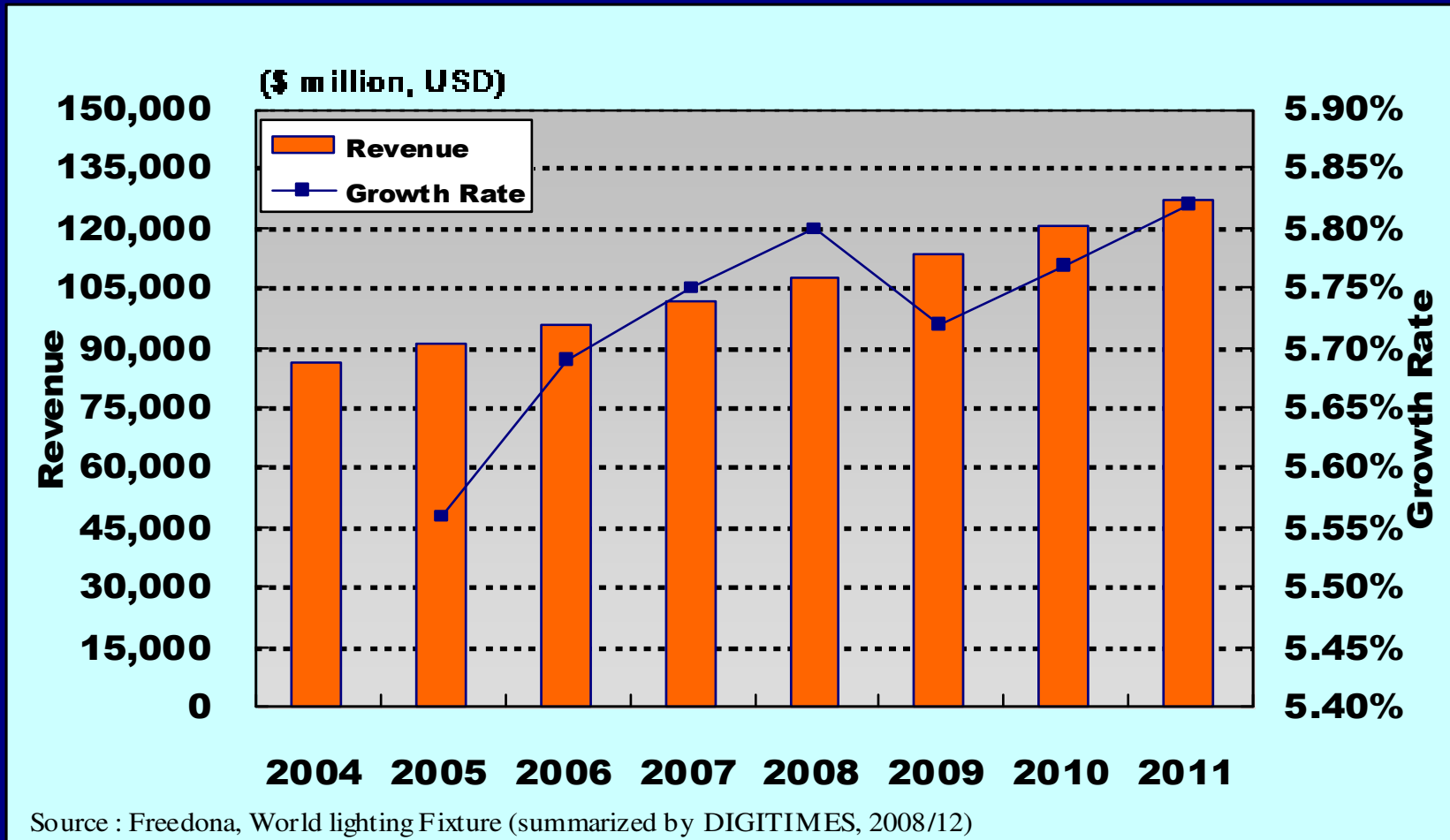


CAGR by HB LED Application

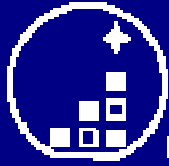


Source : Strategies Unlimited

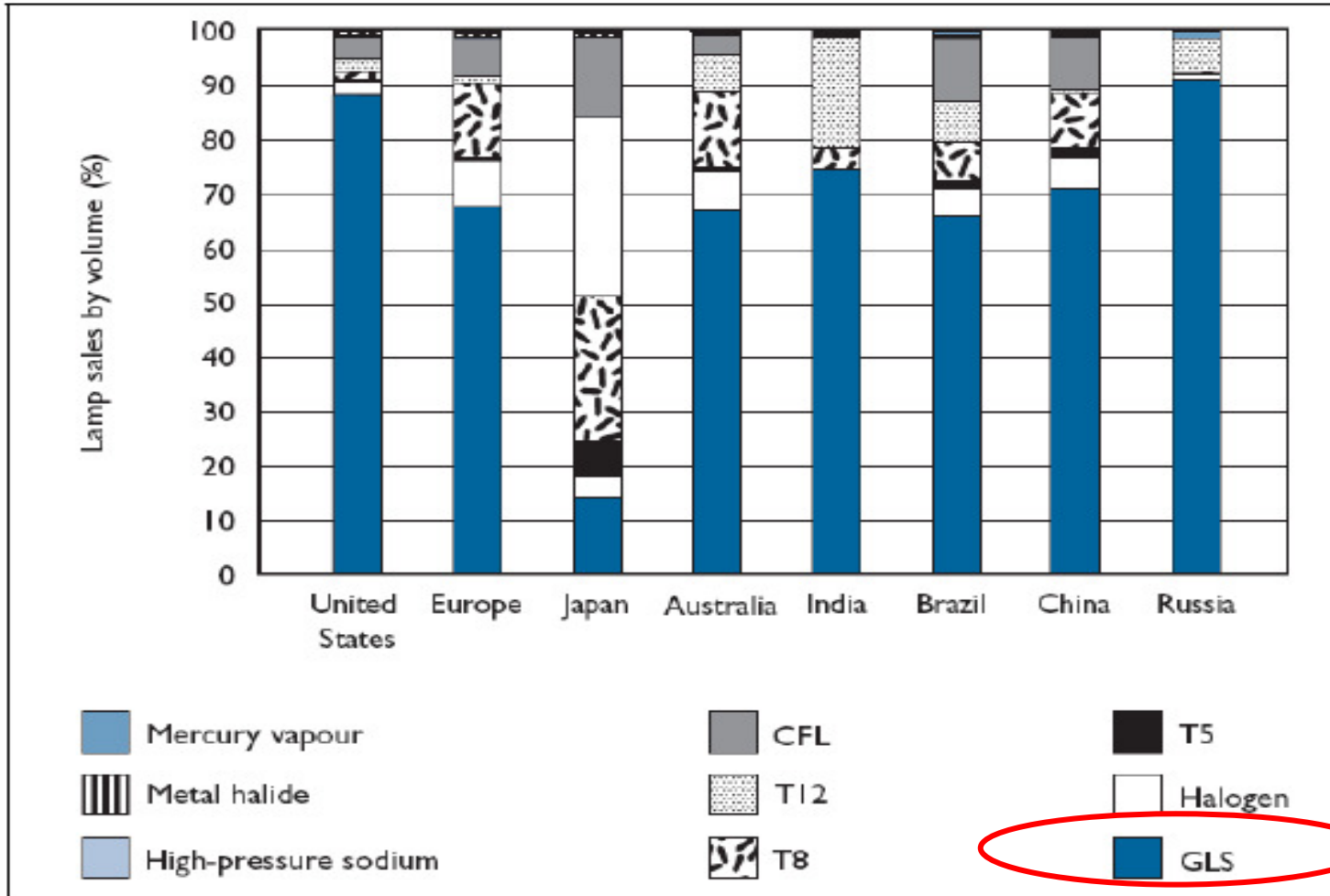
Global Lighting Market Forecast



- Revenue will be ~120, 000 Million USD in 2010.
- Market size is about 10 time larger than HB-LED's



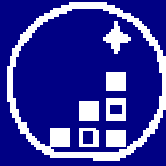
Lighting Market by Region



資料來源：IEA(2006)

全球主要區域電光源銷售比重

Incandescent still dominates!



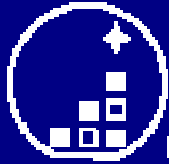
EPISTAR
晶元光電

Phase-out of incandescent light bulbs

| Region | Country | Year | | | | | | | | | | Description |
|---------------|---------------|------|-----|-----|-----|-----|-----|-----|-----|-----|-----|--|
| | | '09 | '10 | '11 | '12 | '13 | '14 | '15 | '16 | '17 | '18 | |
| Asia | Australia | | ■ | | | | | | | | | the Australian Federal Government announced that by 2010, incandescent light bulbs would be banned |
| | NZ | | ■ | | | | | | | | | will be doing something very similar as Australia |
| | Philippines | | ■ | | | | | | | | | Once put in effect, the country will be the first in Asia to ban incandescent bulbs |
| | Indonesia | | | | | | | | | | | arrange 50 million pcs of energy saver bulbs at lowest possible price |
| | Pakistan | | | | | | | | | | | arrange 10 million pcs of energy saver bulbs at lowest possible price |
| | Japan | | | | ■ | | | | | | | ban to use incandescent bulb from 2012 |
| | Taiwan | | | | ■ | | | | | | | ban to produce incandescent bulb |
| Europe | Ireland | ■ | | | | | | | | | | proposes to ban traditional incandescent light bulbs in January 2009 |
| | UK | | | ■ | | | | | | | | plans to phase out the sale of incandescent light bulbs by 2011 * retailers will voluntarily decline to stock 150 watt bulbs from January 2008, 100 watt bulbs from January 2009, 40 watt bulbs in 2010, and all remaining bulbs by 2011 |
| | Holand | | | | ■ | | | | | | | wants a ban on incandescent light bulbs within 4 years |
| | Finland | | | ■ | | | | | | | | banning incandescent light bulbs in Finland by 2011 |
| | Italy | | ■ | | | | | | | | | banning incandescent light bulbs in Italy by 2010 |
| | Belgium | | | | | | | | | | | intent on banning incandescent light bulbs |
| North America | EU | | | | | | | | | | | proposed a ban on incandescent light bulbs, planned to come into effect in the near future, but this will not affect existing incandescent bulbs, only the production of new bulbs |
| | Canada | | | | ■ | | | | | | | The plan would ban the sale of incandescent light bulbs, but not their use |
| | United States | | | | | | ■ | | | | | banned (by January 2014) incandescent bulbs that produce 310 - 2600 lumens of light. Bulbs outside this range (roughly, light bulbs currently less than 40 Watts or more than 150 Watts) |
| | California | | | | | | | | | ■ | | phase out the use of incandescent bulbs by 2018 |
| South America | New Jersey | | | | | | | | | | | switch to fluorescent lighting in government buildings over the next three years |
| | Brazil | | | | | | | | | | | attempt to phase out the use of incandescent light bulbs |
| | Venezuela | | | | | | | | | | | attempt to phase out the use of incandescent light bulbs. |

Outline

- ❖ Market Trends for HB-LED and Lighting
- ❖ **Technical Trends & Challenges for LED Lighting**
- ❖ AC LED for Lighting
- ❖ Summary



History of Success for Solid State Technology



**Vacuum
Tubes**



1940s – 1950s

Transistors



CRT



1990s – 2000s

**Flat
Panel**



Film



1990s – 2000s

**Flash
Memory**

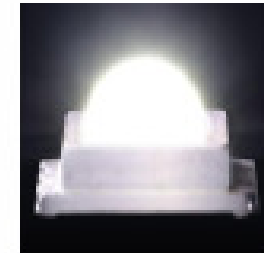


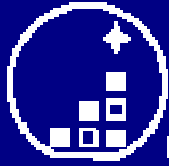
**Light Bulbs/
Fluorescent**



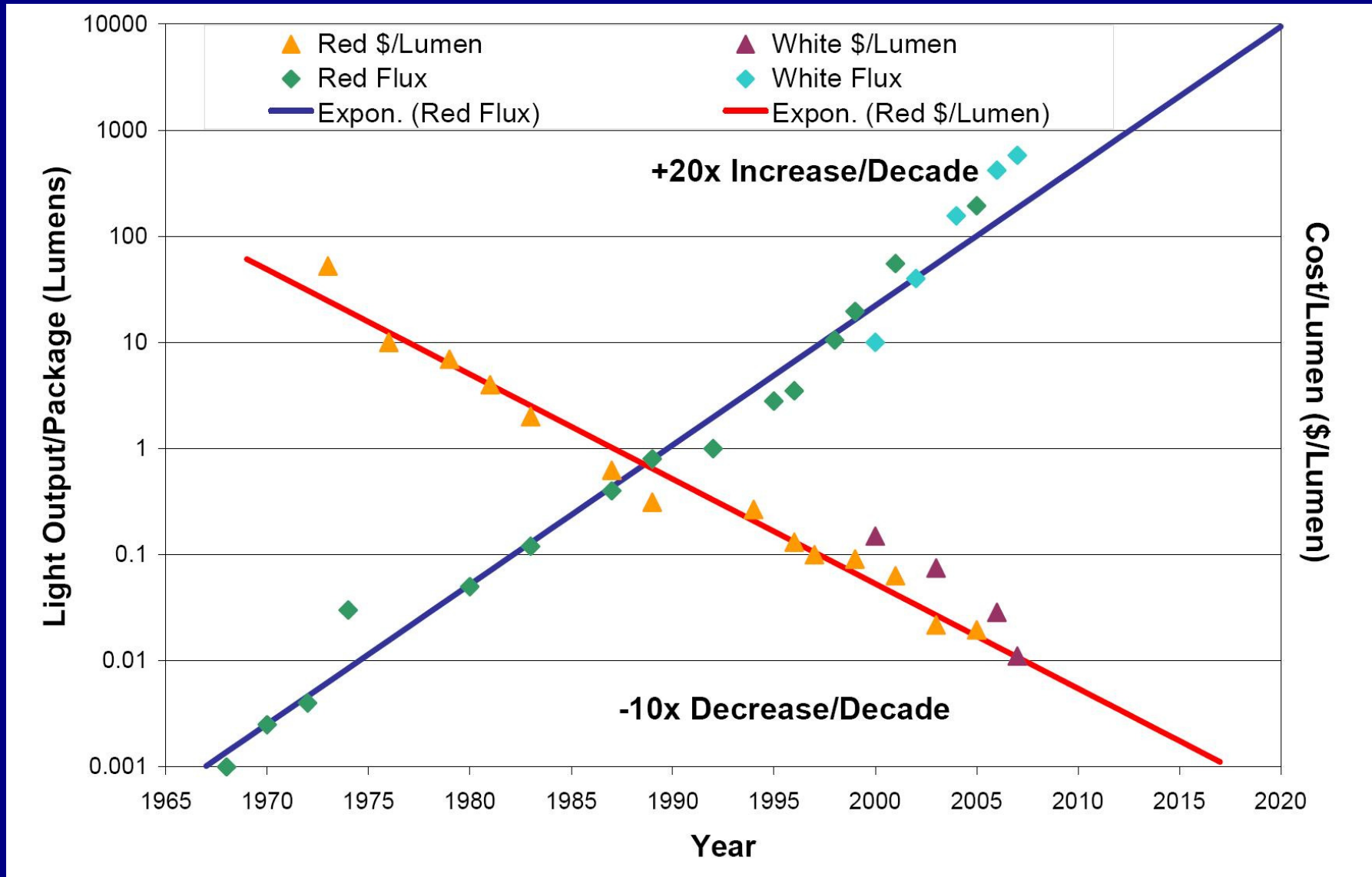
2000s – ...

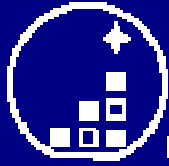
**Solid
State
Lighting**





Haitz's Law





OIDA Roadmap 2009

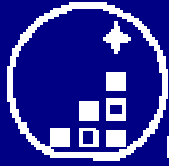
Table 4.3.2: Summary of LED Package Performance Projections

| Metric | 2008 | 2010 | 2012 | 2015 |
|--|------|------|------|------|
| Efficacy- Lab (lm/W) | 144 | 160 | 176 | 200 |
| Efficacy- Commercial Cool White (lm/W) | 108 | 147 | 164 | 188 |
| Efficacy- Commercial Warm White (lm/W) | 64 | 97 | 114 | 138 |
| OEM Lamp (\$/klm) | 169 | 101 | 61 | 28 |

Note:

1. Efficacy projections for cool-white packages assume CRI=70 → 80 and a CCT = 4100-6500°K, while efficacy projections for warm-white packages assume CRI =>85 and a CCT of 2800-3500°K. All efficacy projections assume that packages are measured at 25°C.
2. All packages are assumed to have a 350 mA drive current, 1mm² die size, package-level specification only (driver/fixture not included), and lifetime as stated in table.
3. Price targets assume an integrated LED lamp, “reasonable volumes” (several 1000s), CRI=70 → 80, color temperature = 4100-6500K.
4. Package life is approximately 50,000 hrs assuming 70% lumen maintenance, “1 watt package,” and 350 mA drive current.

Source: LED Technical Committee, Fall 2008



OIDA Luminaire Roadmap 2009

Table 4.3.3: Summary of LED Luminaire Performance Projections (at operating temperatures)

| Metric | 2008 | 2010 | 2012 | 2015 |
|---|-------------|-------------|-------------|-------------|
| Package Efficacy- Commercial Cool White (lm/W, 25 degrees C) | 108 | 147 | 164 | 188 |
| Thermal Efficiency | 85% | 89% | 91% | 95% |
| Efficiency of Driver | 85% | 87% | 89% | 92% |
| Efficiency of Fixture | 80% | 83% | 87% | 92% |
| Resultant luminaire efficiency | 58% | 64% | 70% | 80% |
| Luminaire Efficacy- Commercial Cool White (lm/W) | 62 | 94 | 115 | 151 |

Notes:

1. Efficacy projections for cool-white luminaires assume CRI=70 → 80 and a CCT = 4100-6500°K.
2. All projections assume a 350mA drive current, 1mm² die size, reasonable package life and operating temperature.
3. Luminaire efficacies are obtained by multiplying the resultant luminaire efficiency by the package efficacy values.

Source: LED Technical Committee, Fall 2008

Phosphor-Converting LED

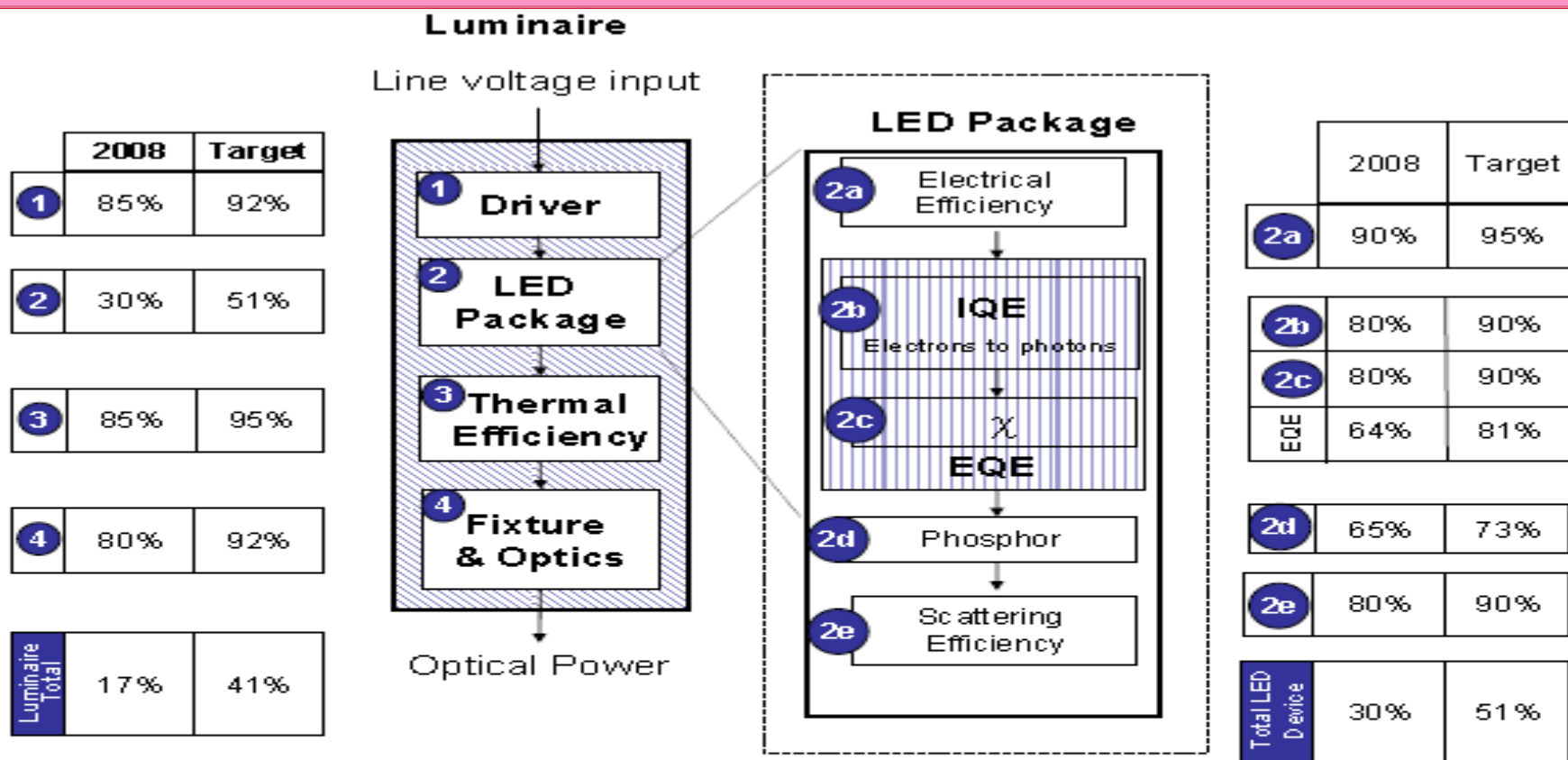


Figure 4.5: Phosphor-Converting LED - Current and Target Luminaire Efficiencies for Steady State Operation

Source: LED Technical Committee, Fall 2008

Note:

1. The target assumes a CCT of 4100K and CRI of 90. Current CCT: 4100-6500K, CRI: 75
2. The target for 2d includes the loss due to the Stokes shift (90% quantum yield times the ratio of the average pumped wavelength and the average wavelength emitted); the value here is typical of a blue diode/yellow phosphor system.
3. The shown efficiency allocation is only one method of achieving the 41% luminaire efficiency target.

Rationale for 200lm/W

Luminous Efficacy of a Source [lm/W]

(“Wall-plug efficiency”)

$$\frac{\text{Luminous flux [lm]}}{\text{Electrical power [W]}}$$

Goal:

200 lm/W

=

Luminous Efficacy of Radiation [lm/W]

(Theoretical maximum lm/W)

$$\frac{\text{Luminous flux [lm]}}{\text{Optical power [W]}}$$

400 lm/W

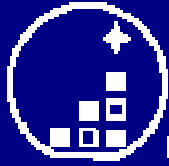
X

Radiant efficiency

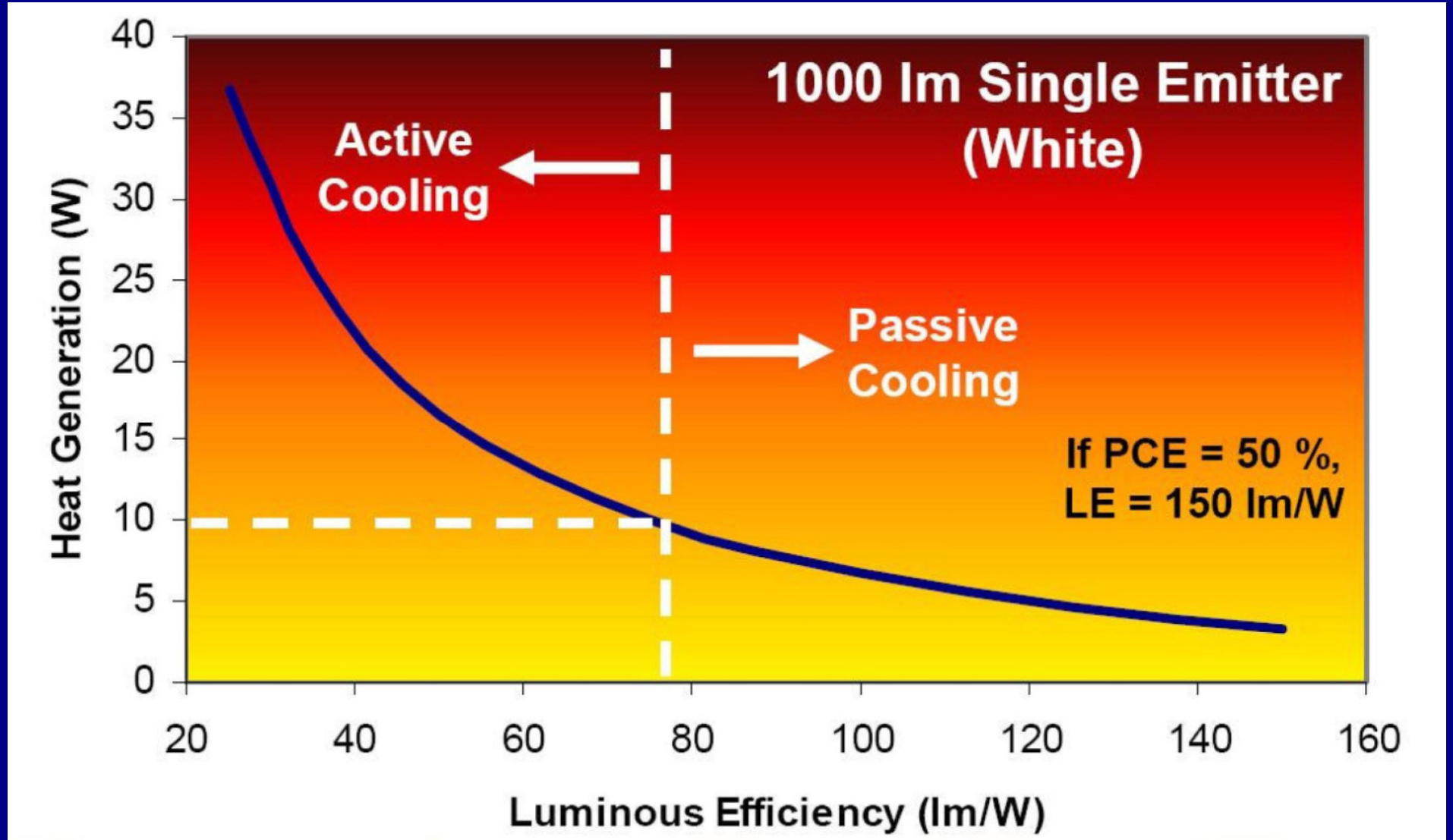
(External Q.E.)

$$\frac{\text{Optical power [W]}}{\text{Electrical power [W]}}$$

50 %

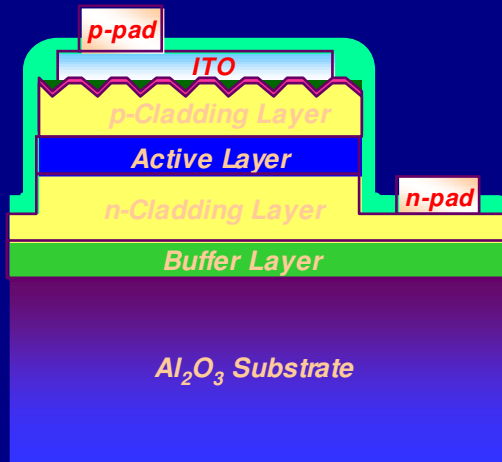


Thermal Issue

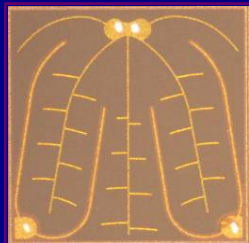


Power Chip Portfolio

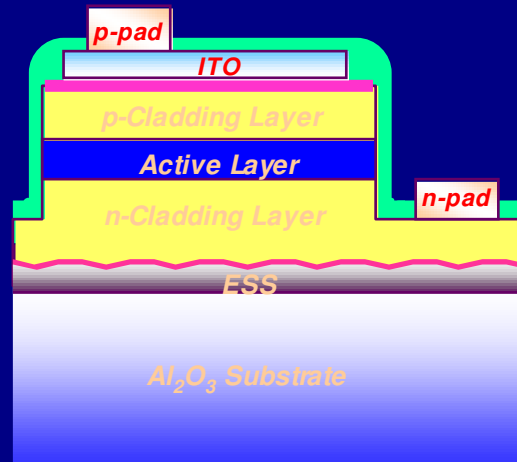
Venus-Series



- ITO on rough p-GaN
- Leaf vein finger design

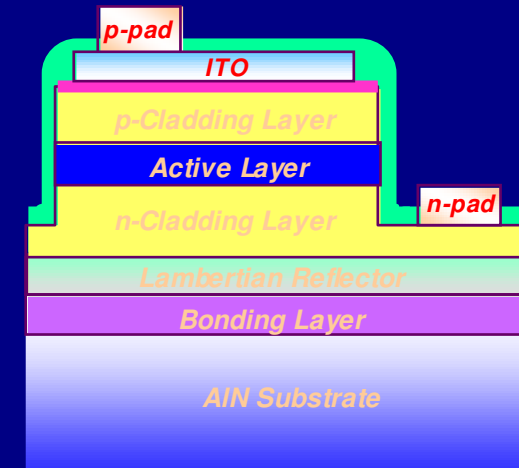


Generic approach



- ITO on flat p-GaN
- ESS technology

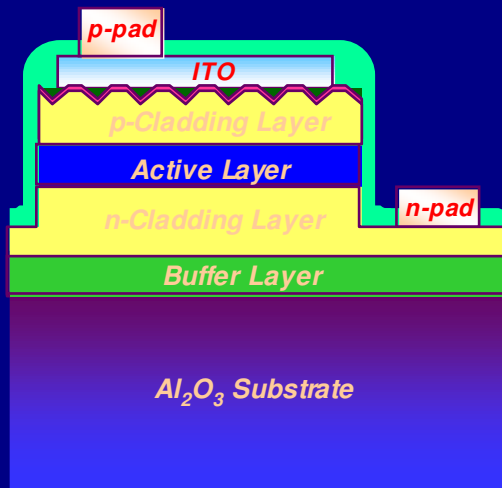
Saturn-H Series



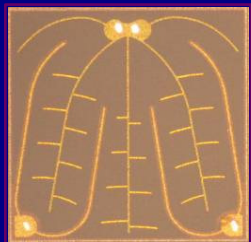
- Advanced Epi structure
- ITO on flat p-GaN
- Lambertian reflector
- High $k_{thermal}$ substrate
- Separation of heat dissipation and current path

Power Chip Portfolio

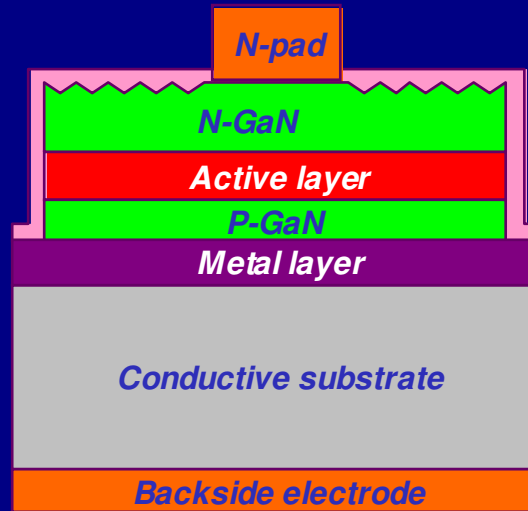
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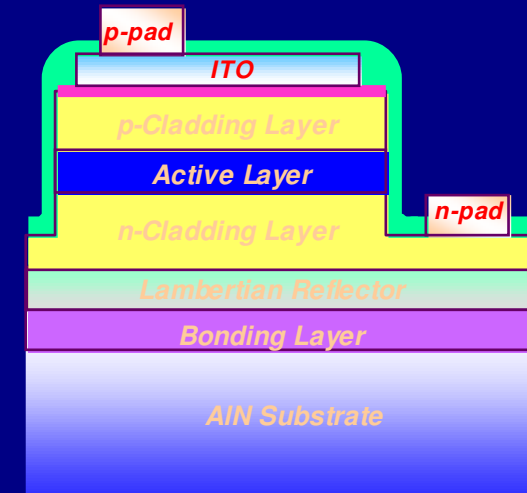


Saturn-N Series



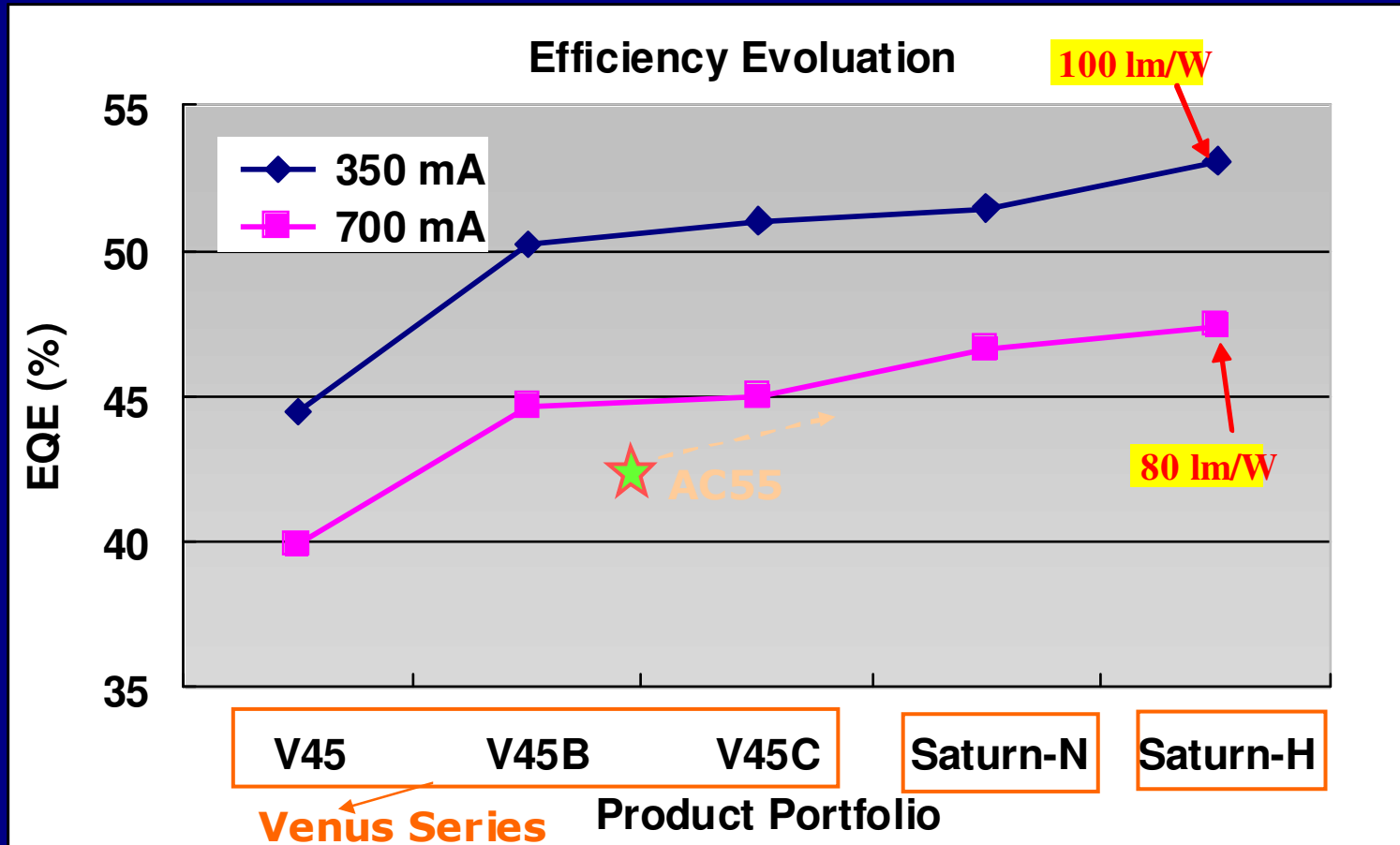
- Flat surface
- Lambertian transmittance
- High $k_{thermal}$ Si substrate
- Narrower view angle
- Eutectic layer

Saturn-H Series



- Advanced Epi structure
- ITO on flat p-GaN
- Lambertian reflector
- High $k_{thermal}$ substrate
- Separation of heat dissipation and current path

Evolution of Power Chip Performance

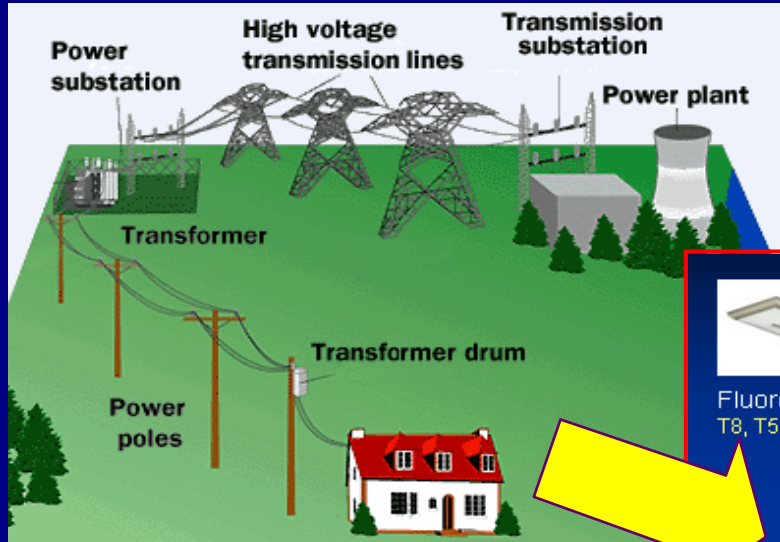


- At this moment, only Venus related technique have been applied to AC LED chip.
- Some advanced techniques for Generic and Saturn can be applied to further improving the performance of AC LED in the future

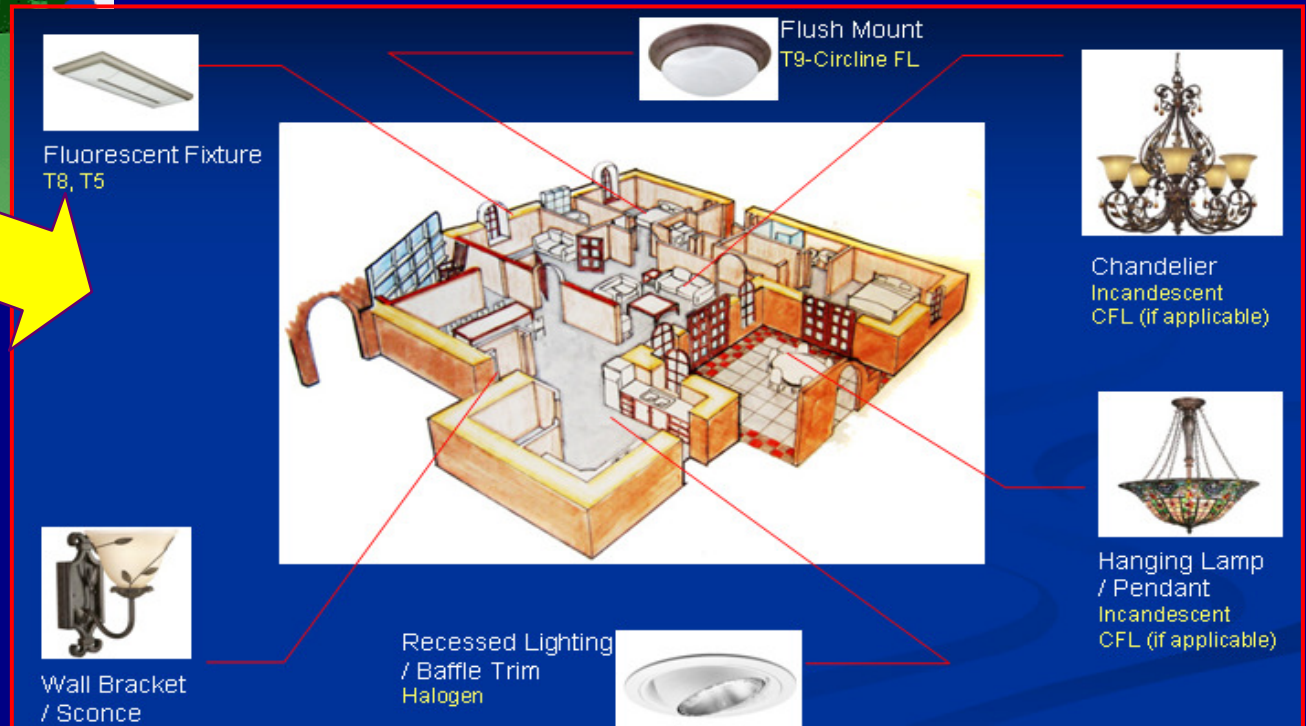
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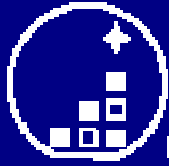
From Power to Illumination



Most of the lighting source are powered by AC !!!

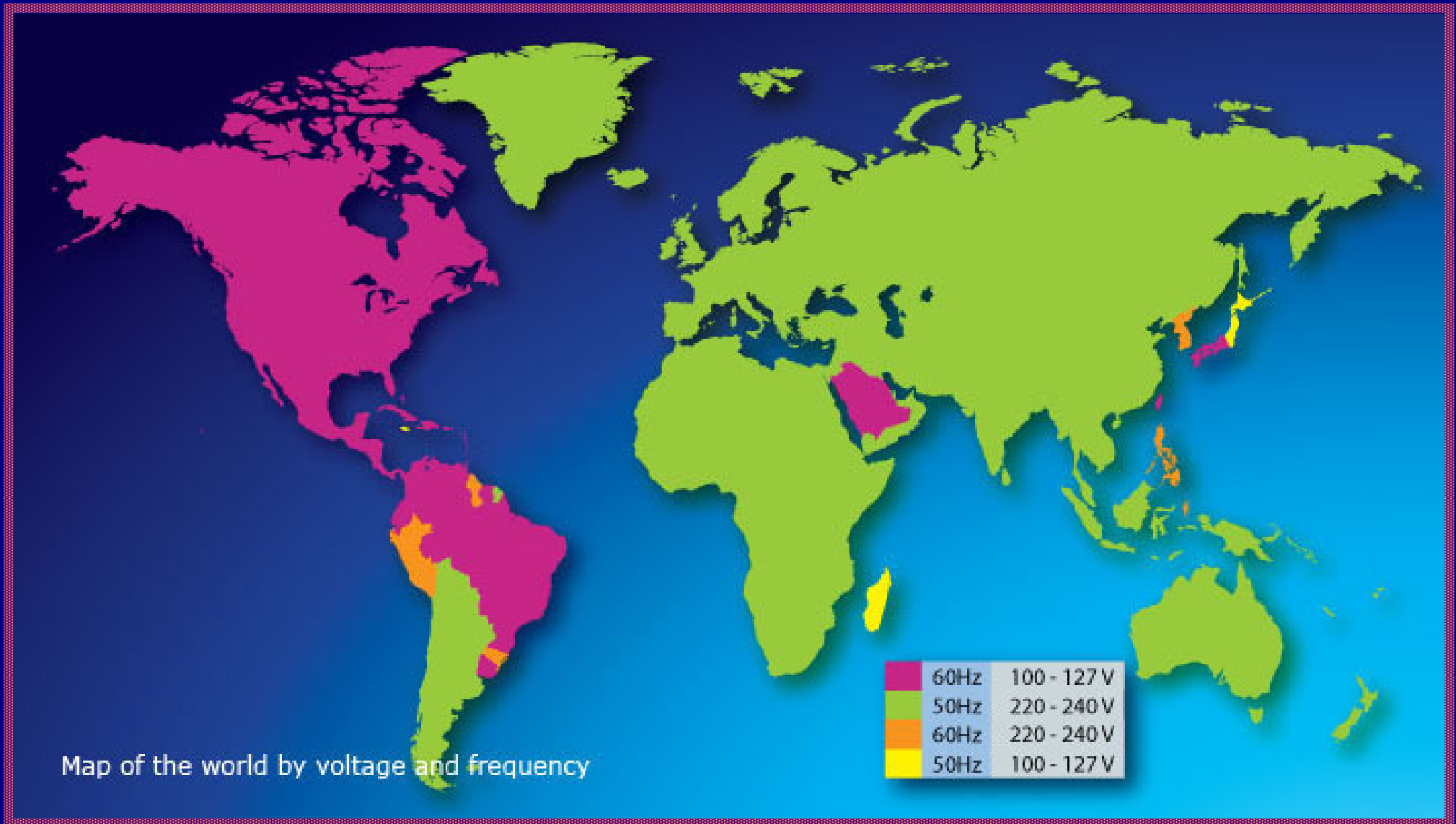


AC is everywhere



EPiSTAR
晶元光電

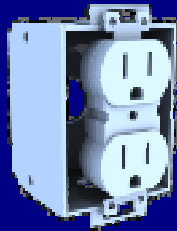
Worldwide Main Voltage & Frequency



Map of the world by voltage and frequency

From Light Bulb to LED

Conventional Lighting



AC Electricity



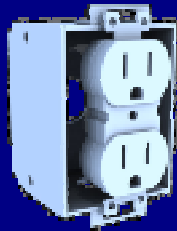
Switch / Dimmer



Bulb / Lamp



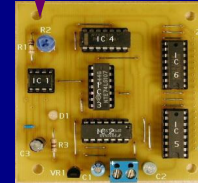
Conventional DCLED lighting



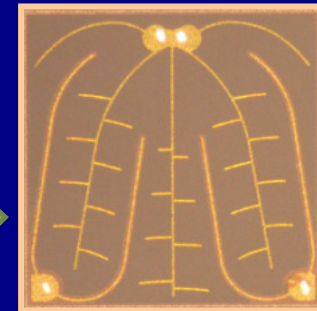
AC Electricity



Converter / Adapter

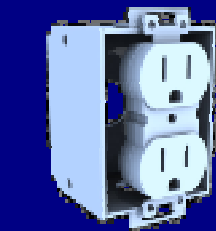


Control circuit



LED driven via DC

\$\$ ↑ & η ↓



AC Electricity



Switch / Dimmer



LED via AC

AC LED Introduction

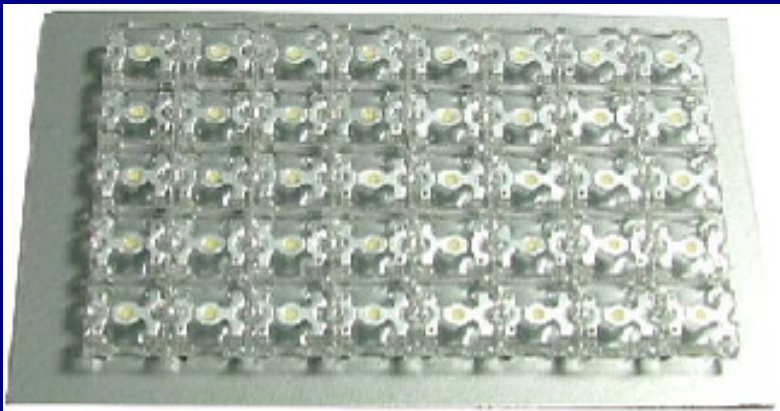
- **What is AC LED?**

- AC LED is a single process-integrated LED with multi-cells that can be directly driven by AC utility without extra external device
- The chip size and the number of cells can be customized to fit for all demands.

- **Different approaches**

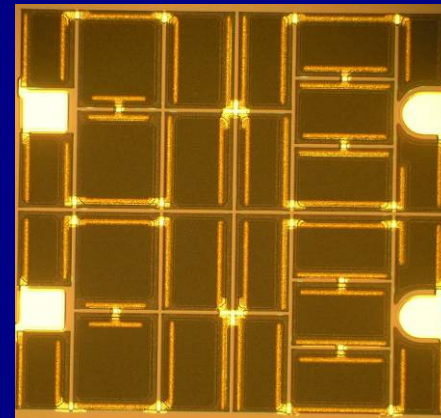
- **AC LED Module**

- Conventional approach
- Fabricated by packaging



- **AC LED Single Chip (on-chip AC LED)**

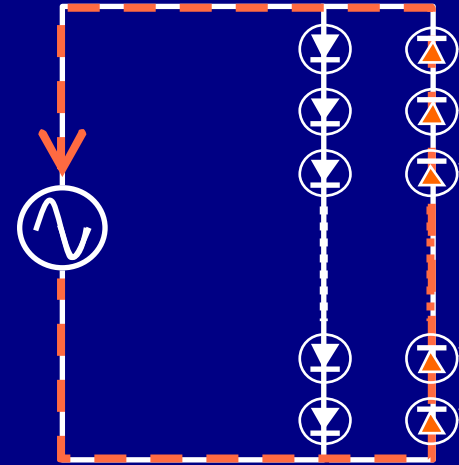
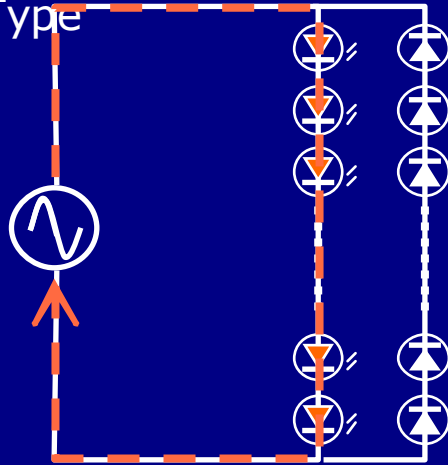
- Innovative concept
- Integrated by wafer-level process
- Complete system within a chip



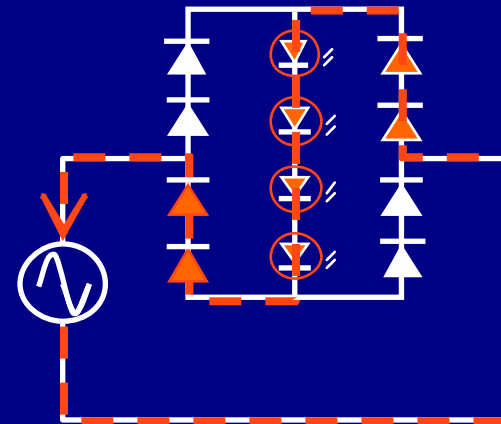
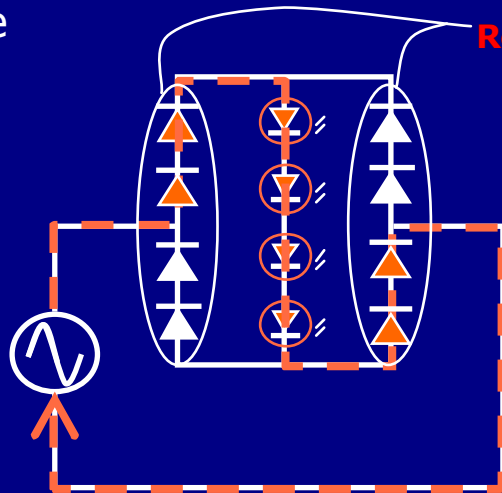
Circuit Layout for AC LED

● Circuit Layout

- Anti-parallel Type
(Diode)

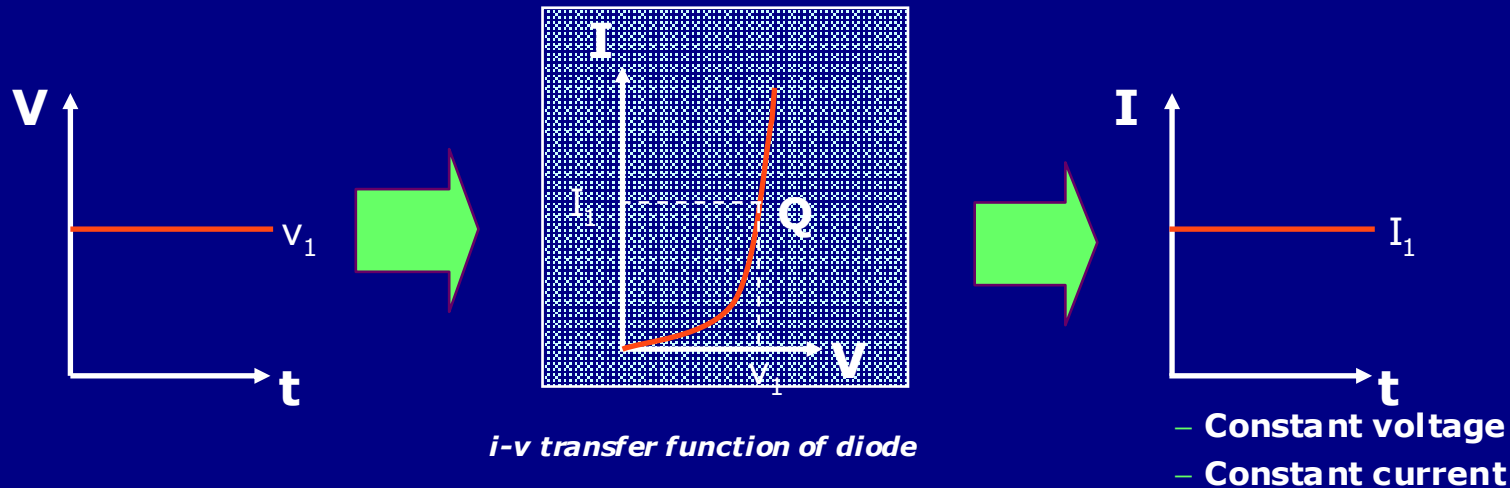


- Bridge Type

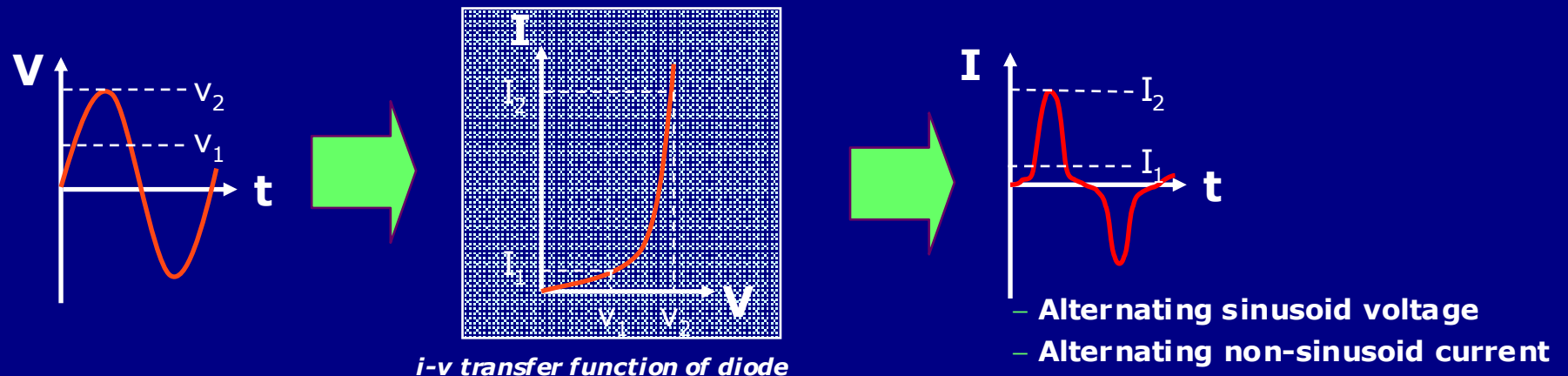


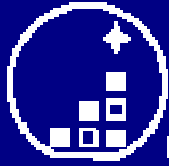
Principle (I) : Dynamic Current Driven

- Operation Point for DCLED is static



- Operation Point for ACLED is dynamic





Principle (II) : Operation Current vs. Efficiency

● Operation Current VS efficiency

- If

$$V_{s-Peak} = 40V$$

$$V_{f-ON} = 20V$$

- Then

$$\text{Duty cycle (turn off)} \approx 1/3 T$$

(*power consumption=0)

$$\text{Duty cycle (turn on)} \approx 2/3 T$$

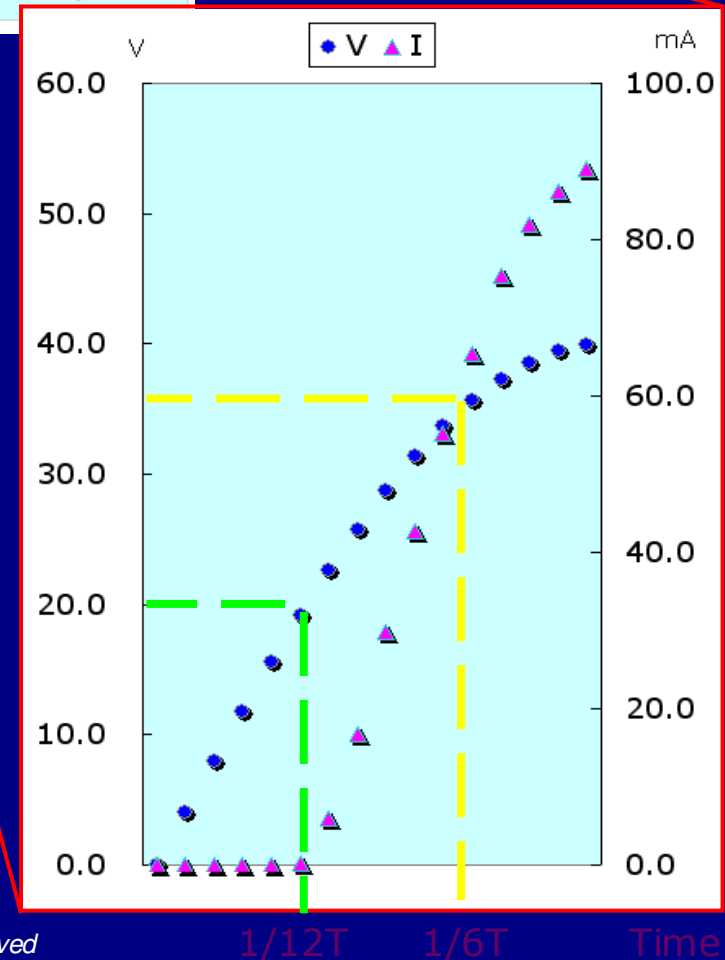
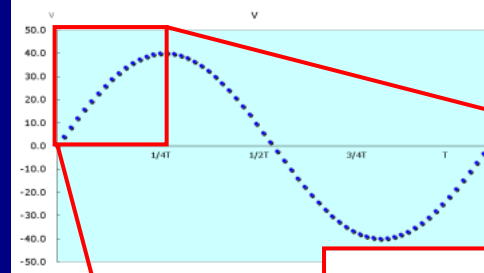
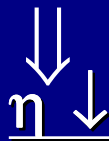
- Namely, 20~35V, 1/3 T

35~40V, 1/3 T

Diodes operate in High voltage regime in a considerably long time

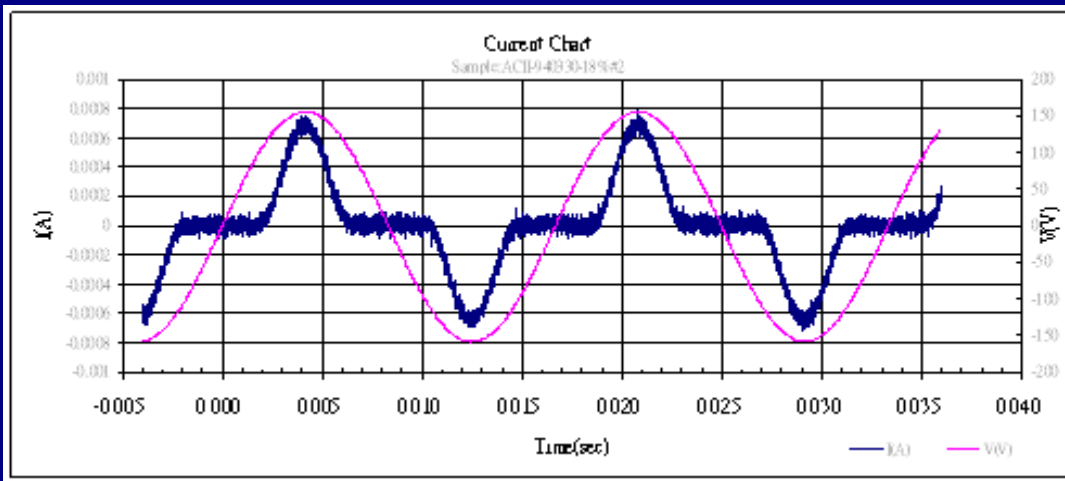
High Current

Higher Current Density



Principle (III): Power Factor

- I-V Characteristics of AC LED



● Power Factor

- Real Power:

$$P_{real} = \frac{1}{T} \int_0^T V(t)I(t)dt$$

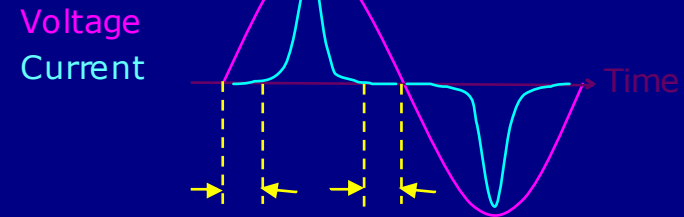
- Apparent power:

$$P_{apparent} = V_{rms} \times I_{rms}$$

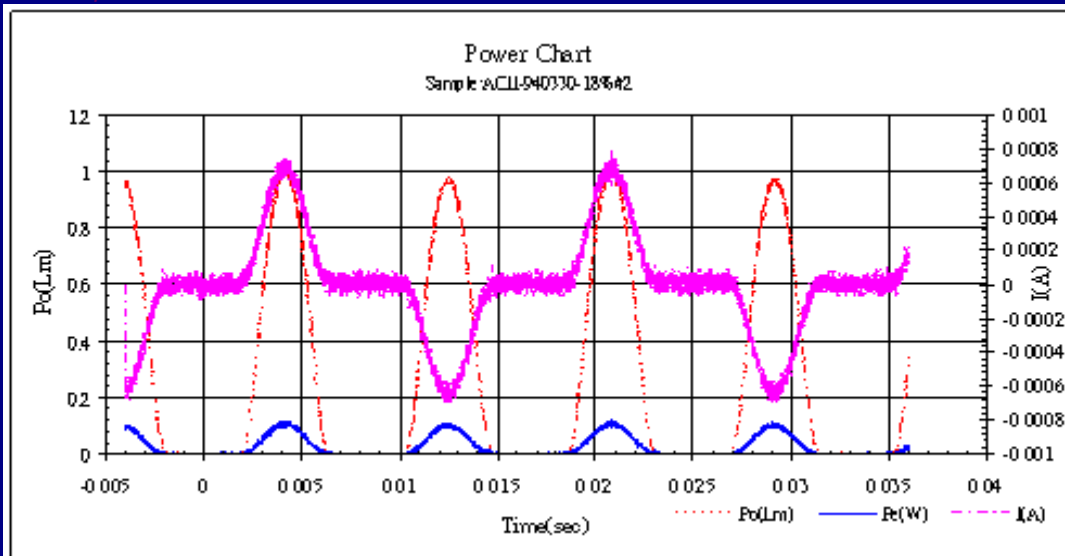
- Power factor is the ratio of the real power to apparent power:

$$P.F. = \frac{P_{real}}{P_{apparent}}$$

The PF of AC LED is 0.8~0.9



- $P_{optical}$ - $P_{electrical}$ -I Characteristics of AC LED

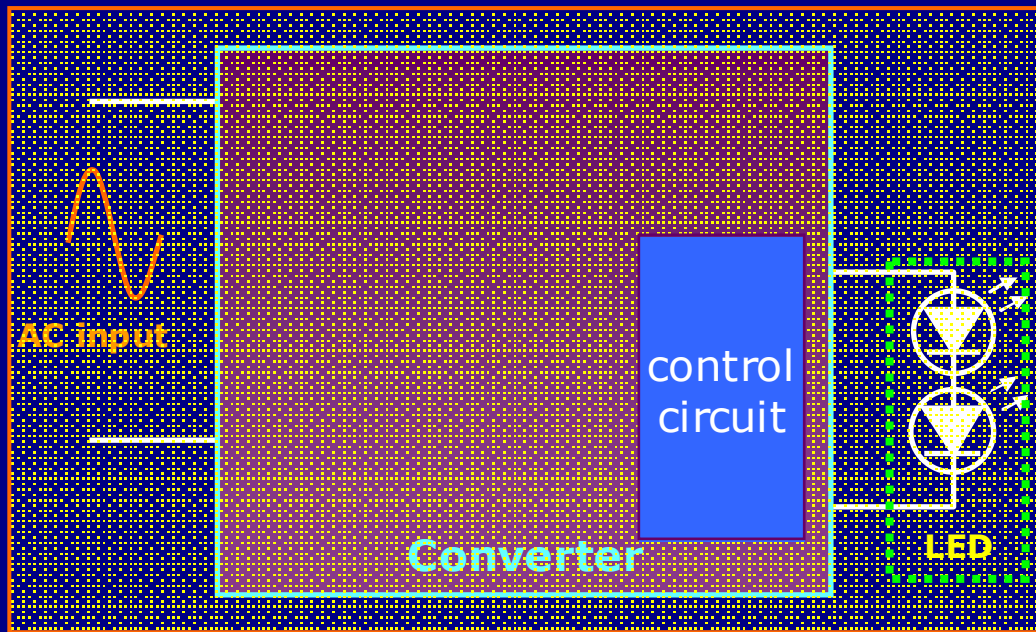


How to increase the PF ??

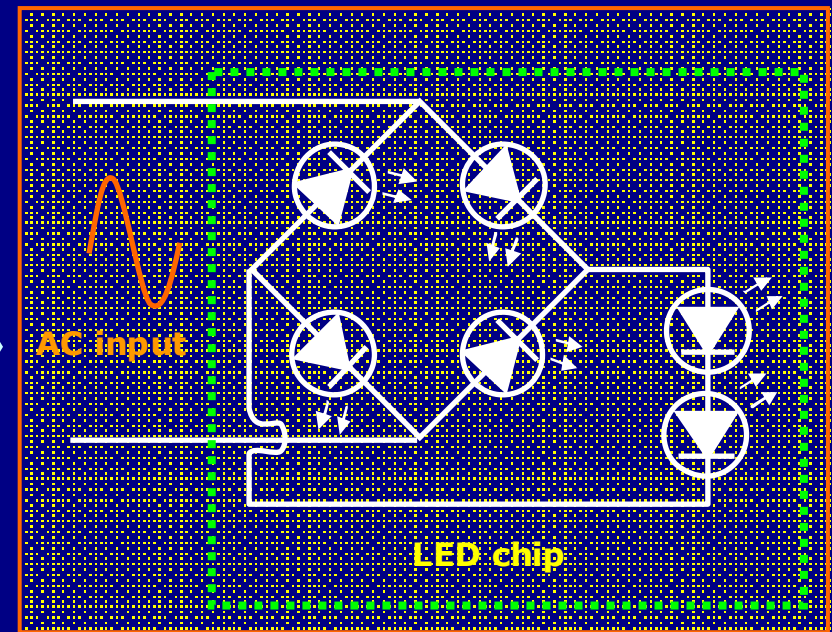
1. Decrease the cell number
2. Reduce the operation current
3. **Add resistor**

A Simple Design Concept

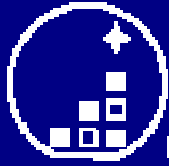
DC LED + converter



AC LED

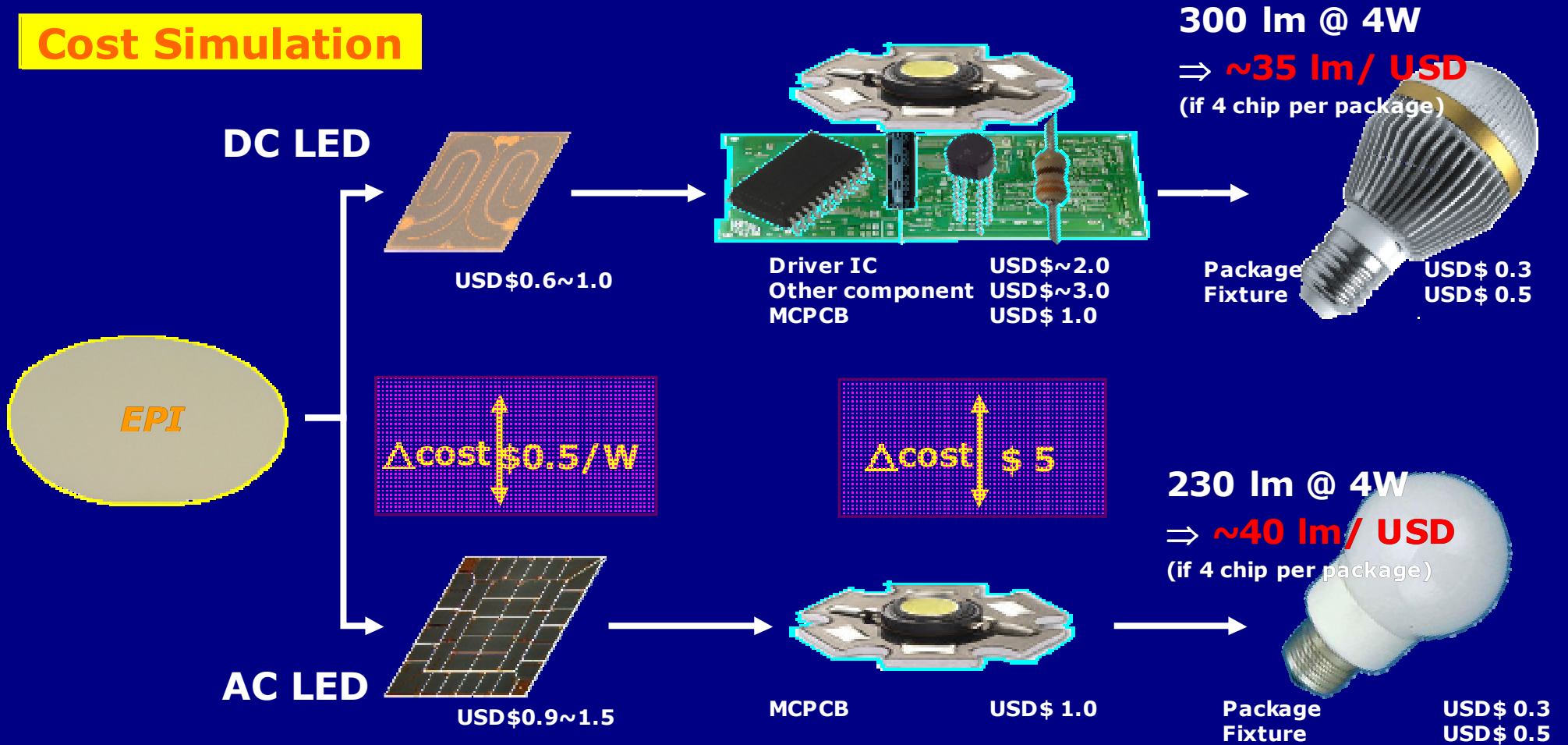


| Design | DC LED + converter | AC LED |
|---------------|--------------------|--------|
| User-friendly | worse | better |
| Reliability | worse (converter) | better |



Lower Cost (lm/\$) Approach (4W)

Cost Simulation



Note: The cost of LED chip are only for reference because it depended on performance and chip size.

AC LED Target Market

- Advantages

- Lower the total cost
- Less space needed
- Without reliability concern about converter
- Easy to be dimmable

- Niche Market

- Spot lighting: 3W/200lm; 5W/300lm; 7W/400lm
- Lamp holder: GU10; E12, E14, E27
- 100V/110V; 220V/230V



Product Type: ES-CABLAC55

Vf @ 10mA: 110.0 ~ 112.5 V

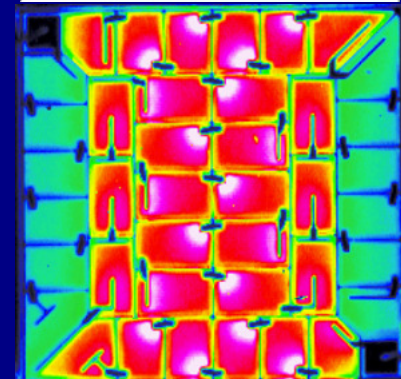
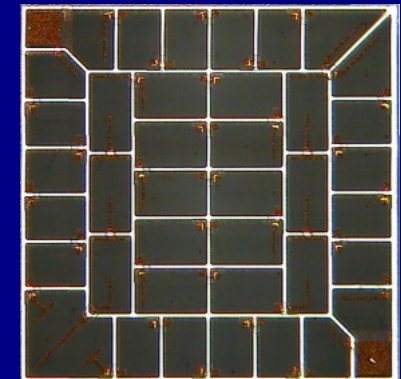
Wld: 455.0 ~ 460.0 nm

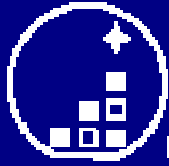
PS : 1.No Resistor
2.η is estimated

**1W solution
@110V**










(Customer Packaging Data)

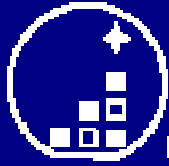
| Name | X | Y | Lumen | CCT | I | V | Efficiency |
|---------------|--------------|--------------|--------------|-------------|-------------|-------------|-------------|
| No./Unit | | | lm | K | Irms | Vrms | lm/W |
| Min | 0.357 | 0.414 | 56.29 | 4575 | 10.0 | 92.0 | 68.0 |
| Max | 0.369 | 0.430 | 62.67 | 4828 | 10.0 | 94.0 | 74.1 |
| Avg. | 0.363 | 0.422 | 59.37 | 4692 | 10.0 | 93.3 | 70.7 |
| StdDev | 0.006 | 0.008 | 3.48 | 112 | 0.0 | 0.9 | |





AC LED Applications

| | Chandelier | Table Lamps | Recessed Downlight |
|--------------|---|---|---|
| Applications |  |  |  |
| Bulb Type |  |  |  |
| Replace | 40W Candle Light ↓ 4W AC LED | 60W Incandescent ↓ 6W AC LED | 50W PAR38 ↓ 15W AC LED |
| AC LED |  |  |  |



Use AC55 to Form Lamps

| | 4W AC LED | 6W AC LED | 15W AC LED |
|--------------------------|--|--|---|
| Type |  |  |  |
| AC LED Module |  |  |  |
| LED | AC55 (1W) X 4  | AC55 (1W) X 6  | AC55 (1W) X 15  |
| Luminous Flux @ 5700K | 230 lm | 350 lm | 900 lm |
| Luminous Flux @ 3000K | 160 lm | 250 lm | 650 lm |

Product Name: **ES-CABLAC99**

Under development

Vf @ 50mA: 92.5 ~ 95.0 V

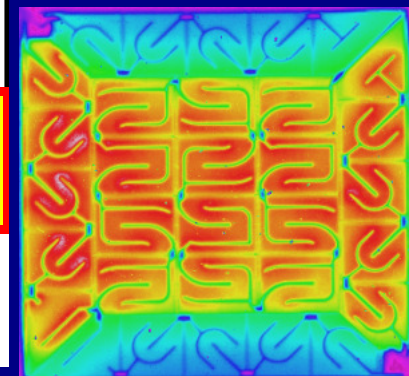
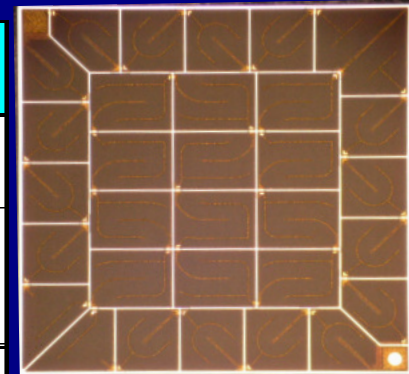
Wld: 452.5 ~ 460.0 nm

PS : 1.No Resistor
2.η is estimated

**4W solution
@110V**

(Customer Packaging Data)

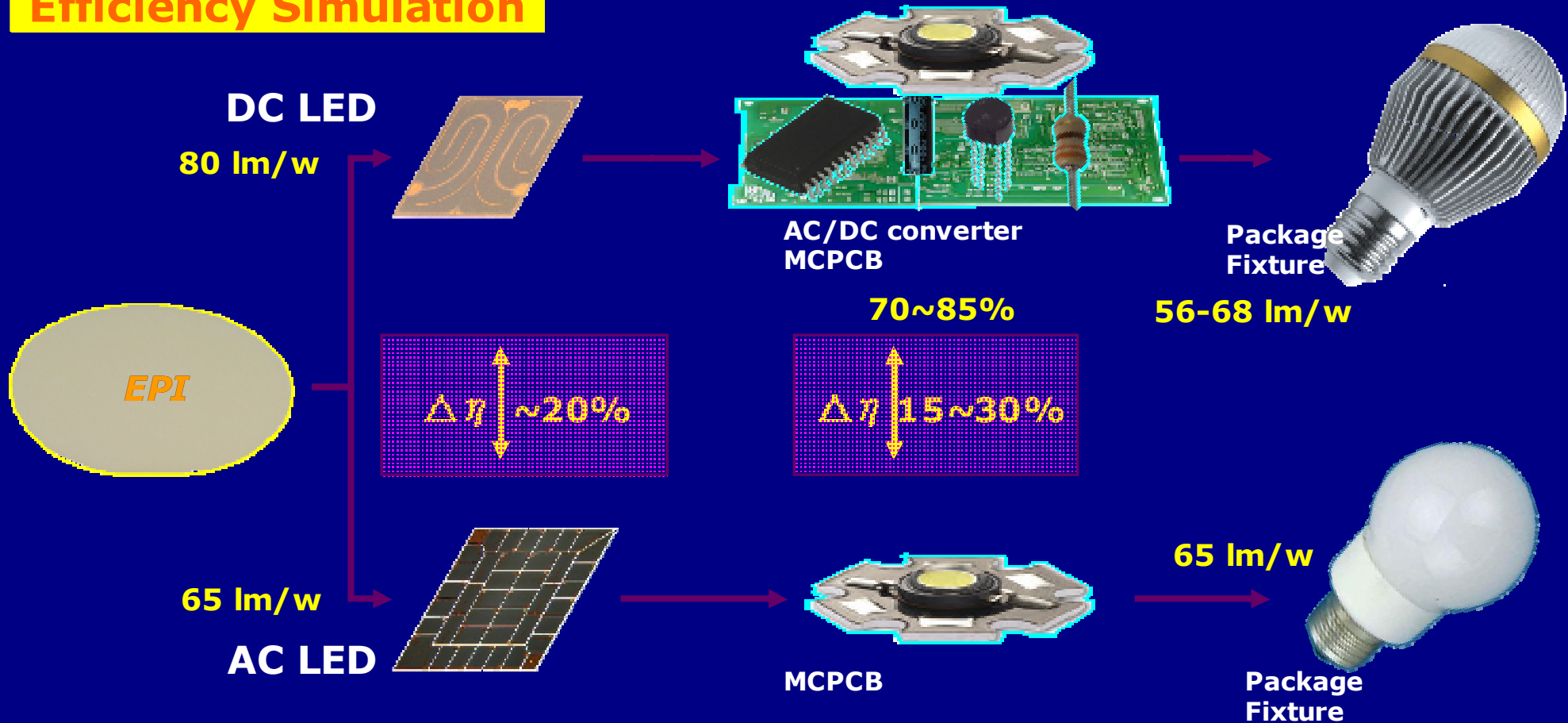
| Name | X | Y | Lumen | CCT | I | V | Efficiency |
|-------------|--------------|--------------|--------------|-------------|-------------|-------------|-------------|
| No./Unit | | | lm | K | Irms | Vrms | lm/W |
| Min | 0.351 | 0.404 | 221.3 | 4858 | 50.0 | 75.4 | 65.2 |
| Max | 0.356 | 0.414 | 227.2 | 4970 | 50.0 | 76.2 | 66.2 |
| Avg. | 0.354 | 0.410 | 224.6 | 4903 | 50.0 | 75.7 | 65.9 |
| StdDev | 0.002 | 0.005 | 2.96 | 49 | 0.0 | 0.4 | |



- ☑ Luminous Efficiency & Droop Effect
- ☑ Heat Dissipation
- ☑ Electric Power System Variation
- ☑ Pulse and Reverse-bias Driven

Total Efficiency Comparison

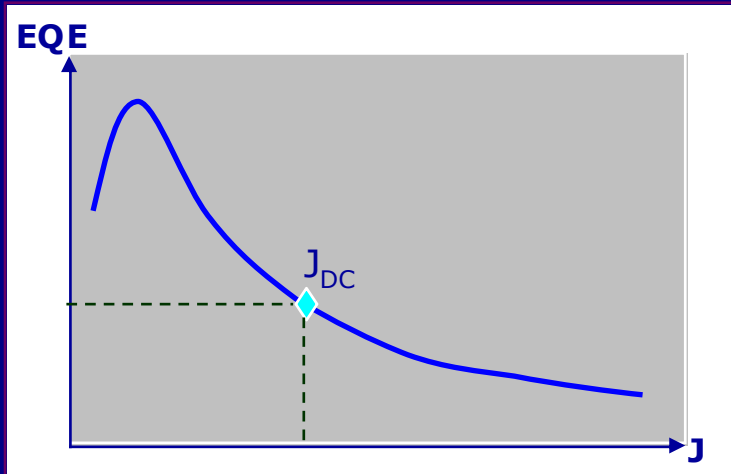
Efficiency Simulation



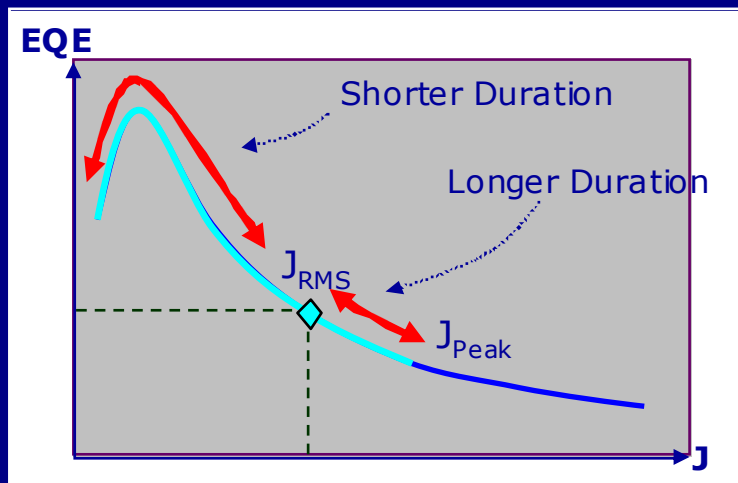
Note: The cost of LED chip are only for reference because it depended on performance and chip size.

Efficiency Droop Effect

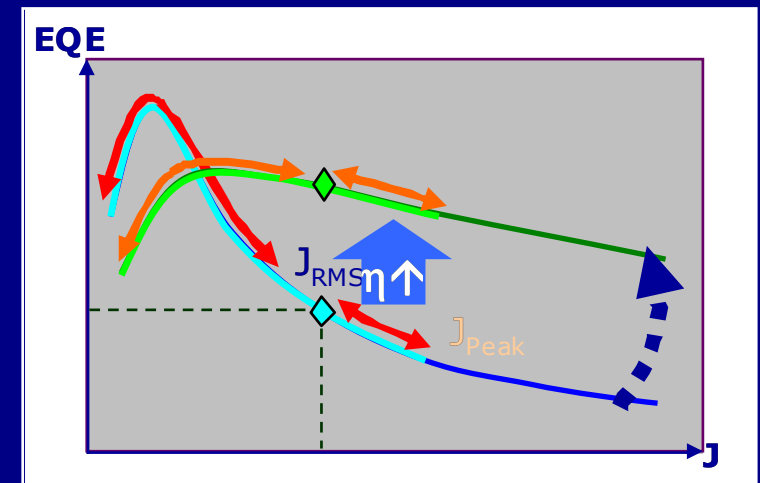
– DC LED



– AC LED



Constant current \Rightarrow Constant η

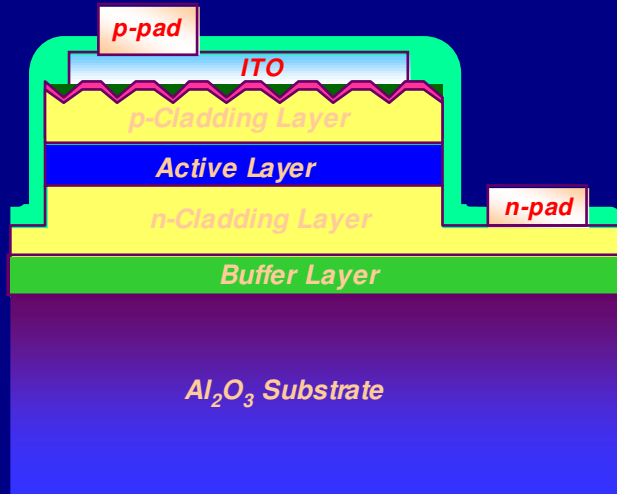


Varied current \Rightarrow Varied η

Normally, $\eta @ J_{RMS} < \eta @ J_{DC}$
due to the longer duration between J_{Peak} and J_{rms}

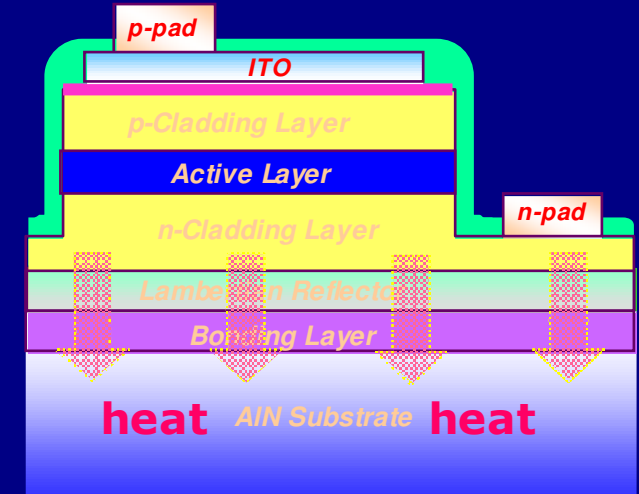
Heat Dissipation

Venus-Series



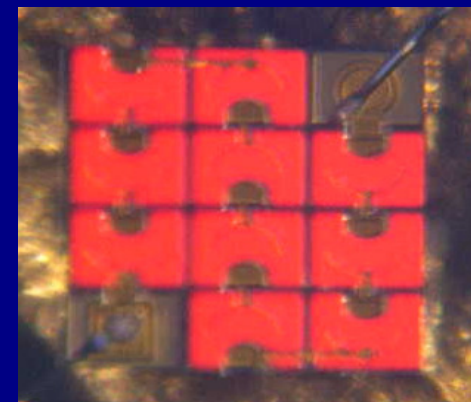
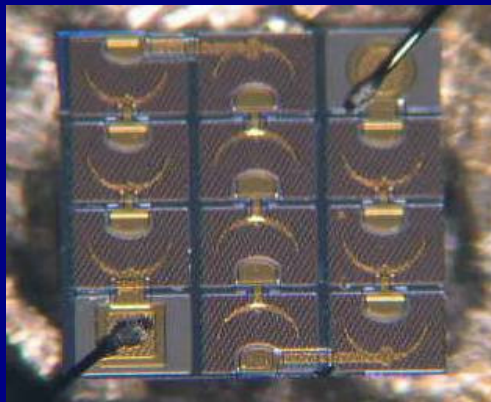
Sapphire $k=35$ w/m-k

Saturn-H Series



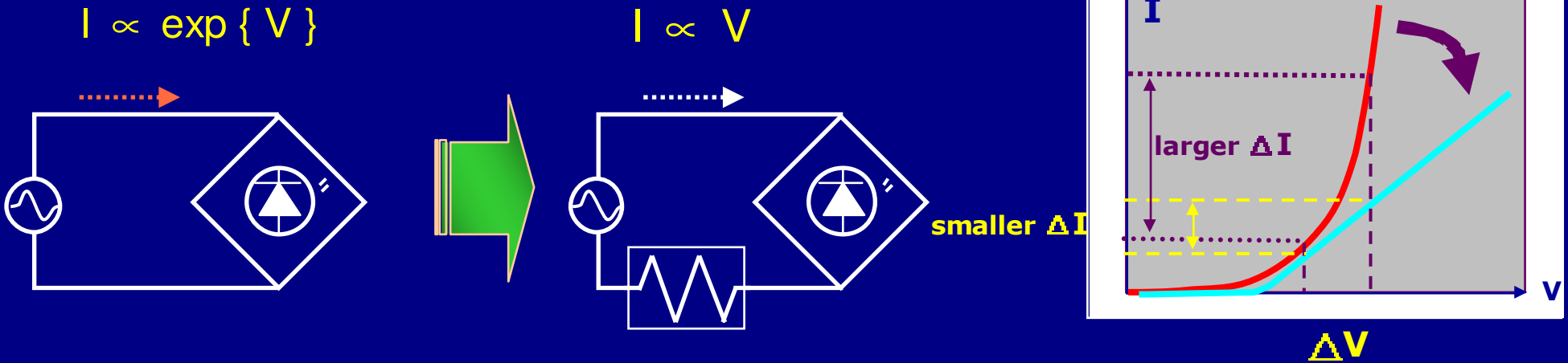
AlN $k=150$ w/m-k

- **Apply bonding technology to AC LED for good heat dissipation!**

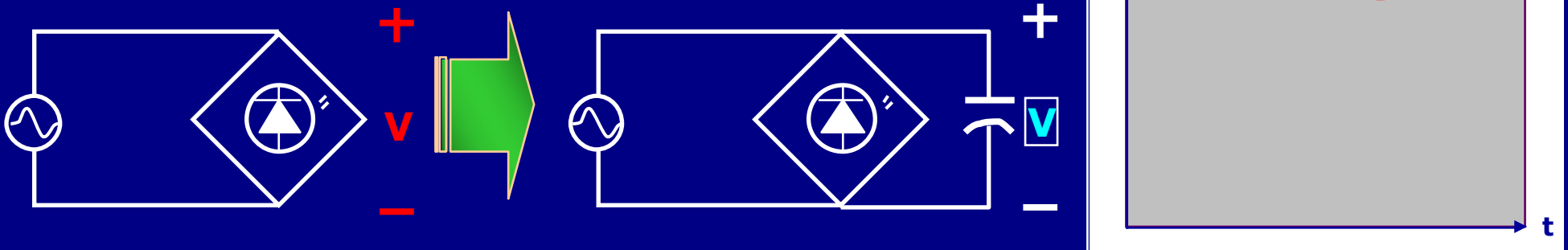


Solution for Power System Variation

- Adding a resistor



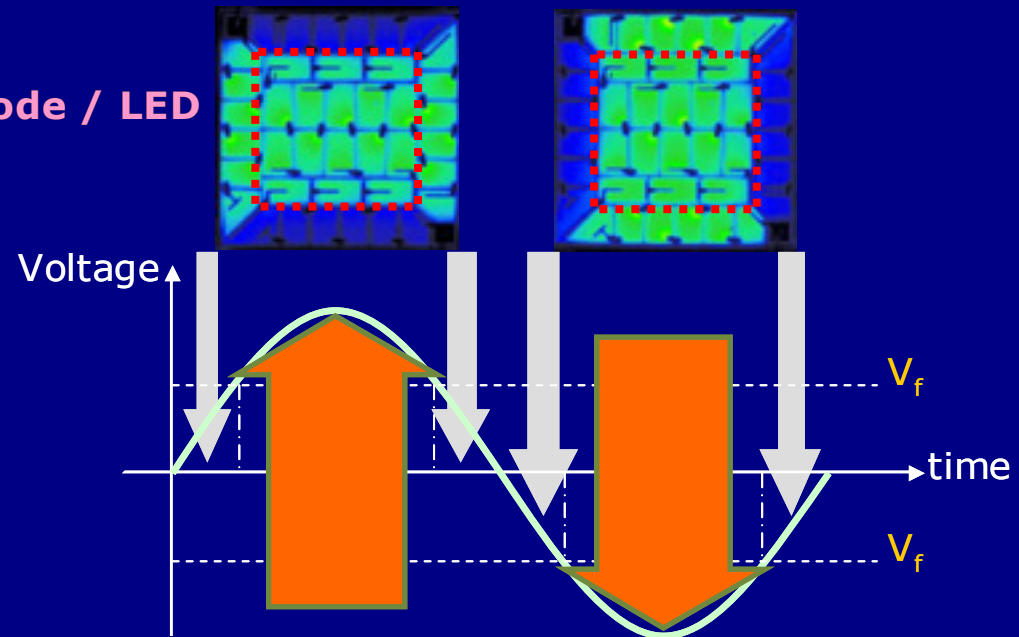
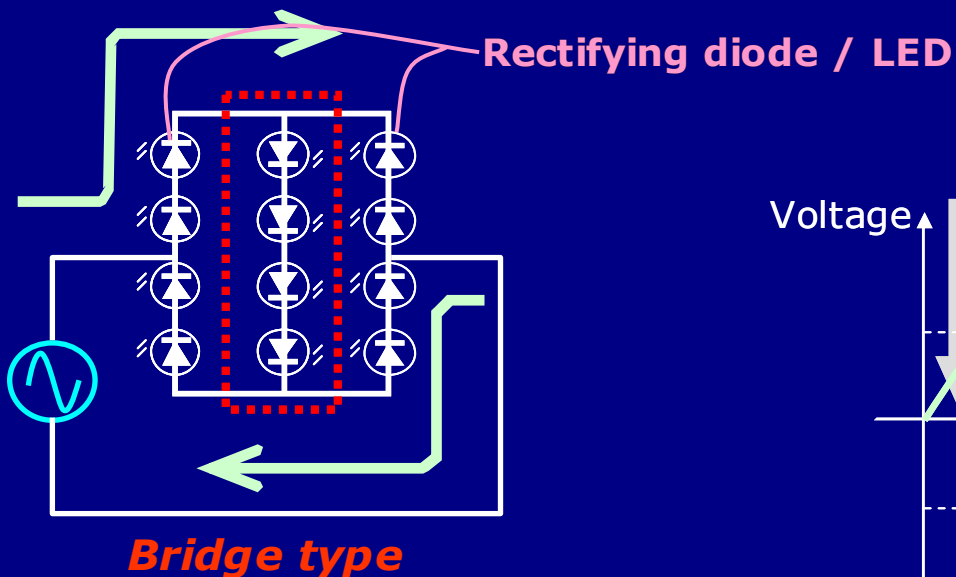
- Adding a capacitor



Pulse and Reverse-bias Driven

Compared to DC LED, it's a brand-new operation mode. Therefore, it needs

- Advanced epitaxial structure : breakdown voltage
- Modified chip process : reverse leakage
- Optimized device layout : efficiency



Outline

- ❖ Market Trends for HB-LED and Lighting
- ❖ Technical Trends & Challenges for LED Lighting
- ❖ AC LED for Lighting
- ❖ **Summary**

Summary

- Higher energy efficiency and longer life time enable LED to play an important role in driving the transition of lighting industry for next few years.
- AC LED, a simple and user-friendly solution, is suitable for spot light with relatively lower power fixture like candle light, Incandescent and PAR38.
- White light AC LED chip with luminous efficiency of 70 lm/W is achieved.
- Several technology challenges of AC LED have been addressed and need to be further improved for broadening application scope.



Thanks for Your Attention